



To: OTC Market Participant
From: Market Operations
Date: April 2016

Market Circular 026 RENDITION OF RETURNS TO THE NASD OTC SECURITIES EXCHANGE

In line with the provisions of the Rules and Regulations of the NASD OTC Securities Exchange, Participating Institutions are by this Circular informed that the Formats for the rendition of Quarterly Reports to the NASD OTC Securities Exchange are now available for download on our website http://nasdng.com/trading_institutions/Form_Downloads.

Accordingly, all Participating Institutions are required to render Quarterly Reports on the prescribed Forms as only returns rendered on the prescribed forms will be recognised by NASD. Appropriate action shall be initiated by NASD against Participating institutions which render inappropriate/incomplete returns, render returns outside the time frame stipulated or fail to render returns.

All Participating Institutions are advised to ensure compliance with the above requirements and forward the Quarterly Reports to compliance@nasdng.com not later than **one month from the end of the reporting period**.

Kindly send a mail to compliance@nasdng.com or call Jane/Feso on 01 460 5008 should you require any clarification and or additional information in this regard.

Best Regards,

NASD PLC.

Contact us at:

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