

Notification of Resignation of Authorised Trader



As part of the Participating Institution's continuing obligation to NASD, it is obliged to promptly notify NASD of the resignation of any of its nominated Authorised Traders.

A duly completed NASD Form 5a should be submitted to NASD and accompanied by:

- A copy of the Authorised Trader's letter of resignation; and
- A copy of the Participating Institution's acceptance of the resignation

Name and Address of Participating Institution: _____

Further to our continuing obligation to notify NASD of any changes that occur subsequent to our registration as a Participating Institution, we hereby confirm that the under-listed Authorised Trader has resigned from the firm.

Name of Authorised Trader:	
Authorised Trader Identification Number:	
Employment Date:	
Resignation Date:	
Reason(s) for leaving:	

We affirm and certify that as officers of the above mentioned firm, we have the legal authority to provide the information above.

We declare that to the best of our knowledge, the above information provided is true and correct as of this date.

**SIGNATURE OF
 COMPANY SECRETARY**

SIGNATURE OF DIRECTOR

**NAME OF
 COMPANY SECRETARY**

NAME OF DIRECTOR

Date